

**58th CONFERENCE OF
DIRECTORS GENERAL OF CIVIL AVIATION
ASIA AND PACIFIC REGIONS**

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AGENDA ITEM 3: AVIATION SAFETY

**THE UNIVERSAL SAFETY OVERSIGHT AUDIT
PROGRAMME (USOAP) CONTINUOUS MONITORING
APPROACH (CMA) WORKSHOP**

(Presented by the Republic of Korea)

INFORMATION PAPER

SUMMARY

The Workshop on the International Civil Aviation Organization (ICAO) Universal Safety Oversight Audit Programme (USOAP) Continuous Monitoring Approach (CMA) will be held in Grand Hyatt Incheon, the Republic of Korea on 6 – 9 November 2023. This Workshop aims to deliver a basic as well as updated information on USOAP CMA, including the newly introduced activities and etc. in order to improve the Member States' understanding of USOAP CMA. The Republic of Korea encourages Member States to participate in this Workshop which will be organized with the support of the Air Navigation Bureau (ANB) of ICAO.

THE UNIVERSAL SAFETY OVERSIGHT AUDIT PROGRAMME (USOAP) CONTINUOUS MONITORING APPROACH (CMA) WORKSHOP

1. INTRODUCTION

1.1 ICAO has conducted the Universal Safety Oversight Audit Programme (USOAP) Continuous Monitoring Approach (CMA) since 2013 in order to continuously assess the effectiveness and sustainability of States' safety oversight systems with information-driven, risk-based and result-oriented approach. The USOAP CMA has been evolved in accordance with the introduction of new or modified USOAP activities, the certain recommendation of the Group of Experts for a USOAP CMA Structured Review (GEUSR) and the ad hoc USOAP Advisory Group (USOAP-AG), feedback by States and stakeholders and etc.

1.2 Before being disrupted by the COVID-19 pandemic, the Republic of Korea held the ICAO USOAP CMA Workshop¹ for Member States every year from 2016 to 2019 to provide participants with information on USOAP CMA and practical experience with the tools and functionalities that support the USOAP CMA.

1.3 With COVID-19 gradually becoming an endemic disease, the Republic of Korea decided to resume holding an in-person workshop in 2023 to build a stronger foundation of the ICAO USOAP CMA, especially considering that significant updates stemming from the High Level Conference on COVID-19 (HLCC 2021) and ICAO Council 226th session, including the integration of SSPIA with traditional USOAP CMA activities, have been and will be made

2. DISCUSSION

2.1 As published in the electronic bulletin *2023/29 ICAO UNIVERSAL SAFETY OVERSIGHT AUDIT PROGRAMME –ACTIVITY PLAN*, the ICAO USOAP CMA Workshop will take place in Grand Hyatt Incheon, the Republic of Korea, from 6 to 9 November 2023. This Workshop conducted in English will be organised with the support of the Safety and Air Navigation Oversight Audit Section (OAS) and the Oversight Support Unit (OSU) of the Air Navigation Bureau (ANB) of ICAO.

2.2 The objectives of this Workshop are to provide States with basic as well as updated information on the USOAP CMA, including the fifth edition of Doc 9735 USOAP CMA Manual, the 2020 edition of the Protocol Questions (PQs), and new or modified USOAP activities in response to the disruption of the COVID-19 pandemic, along with hands-on training on the CMA online framework (OLF).

2.3 The Workshop will also address the introduction and how to use of SARP's Management and Implementation System (SMIS), a web-based IT tool designed to enhance the management of State's aviation safety information such as compliance checklist (CC), self-assessment, State Aviation Activity Questionnaire (SAAQ) and etc. The SMIS, utilized as an OLF supporting tool with the data exchange compatibility since 2013, could be recommended as an option for efficiently managing a State's aviation safety information.

2.4 The expected participants will be those involved in the USOAP CMA activities as a State's Civil Aviation Authority (CAA) staff, including a State's National Continuous Monitoring Coordinator (NCCM) and any other State officials assigned to work on the OLF and/or the SMIS.

2.5 The Member States could find the more detailed information such as a venue, a registration process, specific programme, administrative arrangement, etc. via invitation letter that the Republic of Korea issued in the middle of September. While there is no registration fee charged, the

¹ This annual workshop was attended by 22 Member States in 2016, 25 in 2017, 21 in 2018 and 14 in 2019, respectively.

participants should cover their own travel expenses such as hotels, flights (incl. ground transportation) and meals.

3. ACTION BY THE CONFERENCE

3.1 The Conference is invited to note the information contained in this Paper.

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